

**JYOTI NIVAS COLLEGE AUTONOMOUS  
SYLLABUS FOR 2018 BATCH AND THEREAFTER**

**Programme: B.Voc. (Banking and Finance)**

**Semester: III**

**STOCK MARKET**

**Course Code: 18BVB307**

**No. of Hours:120**

**COURSE OBJECTIVES:**

- To understand the working of Indian Stock market
- To understand the qualitative and quantitative analysis of financial statements
- To understand behavioral science of investment

**LEARNING OUTCOMES:**

- Enable them to make wise investment and trading decisions
- Provide sound investment advice to others who are interested in stock market

**Unit 1: Equity Market & Regulatory structure of Financial Markets 20 HRS**

**Primary Market:** SEBI, NISM, Major developments, Why companies raise capital? IPO, FPO, Private Placement, Pricing of Issues, Fixed Price Issue & Book Building Process, ASBA, Listing, How to apply for shares in an IPO

**Secondary Market:** Stock Exchanges, Trading Platforms, Index, Volatility Index, Index Circuit Breakers, Instruments/products on stock exchanges, Trading & settlement cycle, Trading vs Investing, Price Movements & causes for the movements, Bulk deals & Block deals and their relevance, Corporate actions: Stock Splits & Buy backs, Classification of companies based on market capitalisation, How to trade/invest in secondary market. Benefits to shareholders. How to invest in foreign companies

**Unit 2 : Clearing and Settlement 10HRS**

Clearing Process: Role of clearing agency, Clearing banks & their function, Clearing members/custodians, Depositories & Depository Participants.

Settlement Process: Determination of settlement obligations, Settlement of Funds & Securities.

**Unit 3: Fundamental Analysis 10HRS**

Economy Analysis, Industry Analysis, Company Analysis: Qualitative & Quantitative Analysis, Financial Statement Analysis: Balance Sheet, P&L Account, Cash Flow Statement, Financial Ratios, Stock selection process: How to identify good companies for investment. Portfolio construction & management.

**Unit 4: Technical Analysis 10HRS**

Meaning & Concept, Behavioural science and technical analysis, Support & Resistance, Break Outs, Patterns, Trend Lines, Moving Averages, Application of tools in trading, Money Management etc.,

**Unit 5: Securities Broking operations and Risk Management**

**10HRS**

How to become a Stock Broker? Front, Middle & Back office operations. Trading Terminal. Reports generation, Various services offered by Brokerages.

Different types of margins and Risk management

**SKILL COMPONENT:**

Experiential learning at Stock Market

**60 HRS**